# JCO4Rec'd PCT/PTO 06 JUL 2005

Attny Docket No. B1204/20002 PTO Customer No. 03000

**Applicant** 

: Maria Elena de Lima PEREZ-GARCIA et al.

U.S. Appln. Serial No.: 10/517,097

Title : SCOF

: SCORPION PEPTIDE AS HYPOTENSIVE AGENT

Confirmation No. : 5628

The following documents are submitted for the above-identified invention for entry into the U.S. National stage under 35 U.S.C. §371, based on the International Application No. PCT/BR03/00073, which includes the following:

- 1. One (1) sheet of Transmittal Letter;
- 2. Calculation sheet, in duplicate;
- 3. Response to Notification of Missing Requirements;
- 4. Two (2) pages Declaration and Power of Attorney;
- 5. Notification of Missing Requirements; and
- 6. Return Receipt Post Card

## "Express Mail" Mailing Label No. EL 962 212 968 US Date of Deposit July 6, 2005

I hereby certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Marie Trahey

Recapet/PTO 06 JUL 2005

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SUBSTITUTE U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV. 10-2004)		ATTORNEY'S DOCKET NUMBER B1204/20002						
TRANSMITTAL LETTER TO THE UNITED STATES  DESIGNATED/ELECTED OFFICE (DO/EO/US)  CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/517,097						
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED June 7, 2002						
PCT/BR03/00073	June 9, 2003	· · · · · · · · · · · · · · · · · · ·						
TITLE OF INVENTION	SCORPION PEPTIDE AS HYPOTENSIV	VE AGENT						
APPLICANT(S) FOR DO/EO/US  Maria Elena de Lima PEREZ-GARCIA, Carlos Ribeiro DINIZ, Robson Augusto So DOS SANTOS, Pierre Edouard BOUGIS, Marie-France EAUCLAIRE and Adriano Monteiro de Castro PIMENTA								
Applicant herewith submits to the United Sta	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of it	tems concerning a filing under 35 U.S.C. 3	371.						
This is a SECOND or SUBSEOU	ENT submission of items concerning a filing	g under 35 U.S.C. 371.						
<ol> <li>This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> </ol>								
4. The US has been elected (Article 3								
	cation as filed (35 U.S.C. 371(c)(2))	·						
a. $\square$ is attached hereto (required	only if not communicated by the Internations	al Bureau).						
b. has been communicated by								
is not required as the applic	cation was filed in the United States Receiving	ng Office (RO/US).						
c. Is not required, as the applic	the International Application as filed (35 U.S	S.C. 371(c)(2)).						
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. is attached hereto.								
b.  has been previously submit	ted under 35 U.S.C. 154(d)(4).	0 (35 U.S.C. 371(c)(3))						
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
a.   are attached hereto (required only if not communicated by the International Bureau).								
b.  have been communicated by the International Bureau.								
	c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.							
d ☐ have not been made and will not be made.								
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).								
9. An oath or declaration of the inve	entor(s) (35 U.S.C. 371(c)(4)).	D. A. A. D. D.CT						
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11 to 20 below concern document(s) or information included:								
11. An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.							
12. An assignment document for record	A congrete cover sheet in compliance with 37 CFR 3.28 & 3.31 is included.							
13. A preliminary amendment.								
	14. ☐ An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.								
A rever of attorney and/or change of address letter.								
16. A power of autorites and of change of address remains a coordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.								
A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
10 The accord copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).								
19. ☐ A second copy of the English language dunistation of the En								
Notification of Missing Requirements and return receipt postcard								

	U.S. APPLICATION NO. (I	f known, see 37 CFR 1.5)	NTERNATIONAL APPLICATIO	N NO.	ATTORNEY'S D	OCKET NO.
	10/517,097	F	PCT/BR03/00073		B1204/20002	
ſ	21. The following fees are submitted:				CALCULATIONS	PTO USE ONLY
	BASIC NATIONAL Neither international p nor international searce	SIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): ther international preliminary examination fee (37 CFR 1.482) international search fee (37 CFR 1.445(a)(2)) paid to USPTO International Search Report not prepared by the EPO or JPO\$1110.				
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00					
		nal Search Report prepare ary examination fee (37 Cl				
	but international searc	h fee (37 CFR 1.445(a)(2)	\$790.00			
		ary examination fee (37 Cl satisfy provisions of PCT A				
	International prelimina and all claims satisfied	\$				
	ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than 30					
		est claimed priority date (3			\$130.00	<u> </u>
	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	<u> </u>
	Total claims	9 - 20 =	0	x \$18.00		
	Independent claims	3 - 3 =	0	x \$88.00		
	MULTIPLE DEPENL	DENT CLAIM(S) (if applied		+ \$300.00	£120.00	
	TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  +				\$130.00 \$ 65.00	
	SUBTOTAL =  Processing fee of \$130.00 for furnishing the English translation later than 30				\$ 65.00 \$	
	months from the earliest claimed priority date (37 CFR 1.492(f)).					
			\$			
	Fee for recording the eaccompanied by an ap	\$				
	·	TOTAL FEES ENCLOSED =				
07/12/200	5 ATRAN1 00000115 0	30075 10517097			Amt. to be refunded:	\$
01 FC:261	7 65.00 DA				Amt to be charged:	\$
	a. A check in the					
		my Deposit Account No	e amount of \$_65.00	to cov	er the above fees.	
		oner is hereby authorized ant No03-0075			uired, or credit any	overpayment to
		charged to a credit card. V included on this form. P				card information
	-	propriate time limit und and granted to restore the			petition to revive	(37 CFR 1.137 (a)
	SEND ALL CORRES	PONDENCE TO:		SIGNATURE	11	
	Custor	mer No. 03000		David M. Tener		
		<del></del>		37,054		
	SUBSTITUTE FORM PTO-1390	) (REV 04-2004) Page 2 or 2		REGISTRATIC	N NUMBER	

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Applicant(s): Maria Elena de Lima PEREZ-GARCIA et al.

U.S. App. Serial No: 10/517,097

International App. No.: PCT/BR03/00073

Filed: December 7, 2004

International Filing Date: June 9, 2003

Att. Docket No.: B1204/20002

Confirmation No.: 5628

For: SCORPION PEPTIDE AS HYPOTENSIVE AGENT

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the attached Notification of Missing Requirements Under 35 U.S.C. 371, mailed from the United States Patent and Trademark Office on May 6, 2005, Applicants attach a Declaration executed by the inventors to complete the filing requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US). Authorization to charge the small entity surcharge under 37 CFR 1.492(e) is granted in the attached Transmittal Letter.

The Declaration is compliant with 37 CFR 1.497(a) and (b), when read in conjunction with the Application Data Sheet filed December 7, 2004, which identifies the International Application Number (PCT/BR03/00073) and International Filing Date (June 9, 2003). 37 CFR §§ 1.497(c) and 1.67.

Pursuant to 37 CFR §§ 1.821 and 1.825, Applicants hereby state that the information recorded in the computer readable form of the sequence listing filed December 7, 2004 is identical to the information recorded in the written (i.e., paper) sequence listing filed December 7, 2004. No new matter was added by that sequence listing.

Applicant respectfully requests that a Notice of Acceptance be issued.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,

COHEN & POKOTILOW, LTD.

July 6, 2005

Please charge or credit our Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission.

By David M. Tener

Registration No. 37,054

Customer No. 03000

(215) 567-2010

Attorneys for Applicant(s)



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS O. DOX 1450 Alexandra, Virginia 22313-1450 www.unplu.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/517.097

Maria Elena de Lima Perez-Garcia

B1204/20002 4

INTERNATIONAL APPLICATION NO.

PCT/BR03/00073

I.A. FILING DATE 06/09/2003

PRIORITY DATE 06/07/2002

3000 CAESAR, RIVISE, BERNSTEIN. COHEN & POKOTILOW, LTD. 11TH FLOOR, SEVEN PENN CENTER 1635 MARKET STREET PHILADELPHIA, PA 19103-2212

NEGE(VE

CAESAR, RIVISE, BERNSTEIN COHEN & POKOTILOW, LTD

**CONFIRMATION NO. 5628 371 FORMALITIES LETTER** 

OC000000015945364\*



Date Mailed: 05/06/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/07/2004
- Copy of the International Search Report filed on 12/07/2004
- Preliminary Amendments filed on 12/07/2004
- Biochemical Sequence Diskette filed on 12/07/2004
- Biochemical Sequence Listing filed on 12/07/2004
- Small Entity Statement filed on 12/07/2004
- U.S. Basic National Fees filed on 12/07/2004
- Priority Documents filed on 12/07/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR) 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

\$65 Late oath or declaration Surcharge.

• This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/517,097	PCT/BR03/00073	B1204/20002	

FORM PCT/DO/EO/905 (371 Formalities Notice)